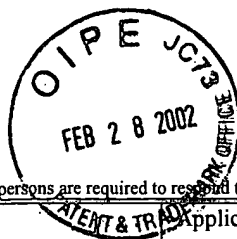


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Please type a plus sign (+) inside this box →



PTO/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/992,084
		Filing Date	11/19/2001
		First Named Inventor	Peng Jiang
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	6	Attorney Docket Number	1789-09300

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Acknowledgement Postcard Amended Application Data Sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Mark E. Scott
Signature	
Date	February 11, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: February 11, 2002.			
Typed or Printed Name	Laura H. Ehrlich		
Signature		Date	February 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

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FEE TRANSMITTAL
For FY 2002

Patent fees are subject to annual revision.

**Complete if Known**

TOTAL AMOUNT OF PAYMENT		\$65.00	Application Number	09/992,084
			Filing Date	11/19/01
			First Named Inventor	Peng Jiang
			Examiner Name	Not yet assigned
			Group Art Unit	Not yet assigned
			Attorney Docket No.	1789-09300

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
 Deposit Account Number: 03-2769
 Deposit Account Name: Conley, Rose & Tayon, P.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101	201	740	370	Utility filing fee	\$
106	206	330	165	Design filing fee	\$
107	207	510	255	Plant filing fee	\$
108	208	740	370	Reissue filing fee	\$
114	214	160	80	Provisional filing fee	\$

SUBTOTAL (1) \$**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
- 20** = x			
Independent Claims	6 * = 3 x		
Multiple Dependent Claims			\$

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent Claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105	205	130	65	Surcharge - late filing fee or oath	\$
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	\$
139	139	130	130	Non-English specification	\$
147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	\$
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	\$
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	\$
115	215	110	55	Extension for reply within first month	\$
116	216	400	200	Extension for reply within second month	\$
117	217	920	460	Extension for reply within third month	\$
118	218	1,440	720	Extension for reply within fourth month	\$
128	228	1,960	980	Extension for reply within fifth month	\$
119	219	320	160	Notice of Appeal	\$
120	220	320	160	Filing a brief in support of an appeal	\$
121	221	280	140	Request for oral hearing	\$
138	138	1,510	1,510	Petition to institute a public use proceeding	\$
140	240	110	55	Petition to revive - unavoidable	\$
141	241	1,280	640	Petition to revive - unintentional	\$
142	242	1,280	640	Utility issue fee (or reissue)	\$
143	243	460	230	Design issue fee	\$
144	244	620	310	Plant issue fee	\$
122	122	130	130	Petitions to the Commissioner	\$
123	123	50	50	Petitions related to provisional applications	\$
126	126	180	180	Submission of Information Disclosure Stmt	\$
581	581	40	40	Recording each patent assignment per property (times number of properties)	\$
146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))	\$
179	279	740	370	Request for Continued Examination (RCE)	\$
169	169	900	900	Request for expedited examination of a design application	\$
				Filing Missing Parts (Small Entity)	\$65.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$65.00**SUBMITTED BY****Complete (if applicable)**

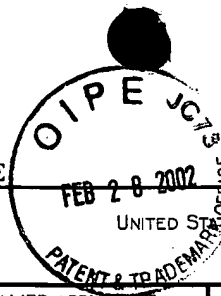
Name (Print/Type)	Mark E. Scott	Registration No. (Attorney/Agent)	43,100	Telephone	(713) 238-8000
Signature				Date	2/11/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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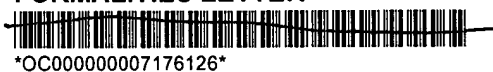
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,084	11/19/2001	Peng Jiang	1789-09300

23505 *mdw/rac*
CONLEY ROSE & TAYON, P.C.
P. O. BOX 3267
HOUSTON, TX 77253-3267

RECEIVED
DEC 14 2001

CONFIRMATION NO. 9640
FORMALITIES LETTER



Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

A copy of this notice MUST be returned with the reply.

Chenb

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/06/2002 SHINASS1 00000059 032769 09992084

01 FC:205 65.00 CH